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*Capacity Building Task Force on Trade, Environment and Development*

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## Short Report

# UNEP-UNCTAD CBTF Training Workshop on Integrated Assessment for African Countries

19-20 July, UNEP Headquarters, Nairobi

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## **UNEP-UNCTAD CBTF Training Workshop on Integrated Assessment for African Countries**

19-20 July 2004, UNEP Headquarters, Nairobi

The Training Workshop on Integrated Assessment for African Countries was the first of a series of capacity building activities for Africa planned in the second phase of the CBTF, funded by the European Commission. It targeted African research and training institutions, which are in a position to train others in the future or initiate integrated assessments in cooperation with their governments. The training responded to an important cluster of capacity building needs identified during the CBTF Regional Workshop on Post-Doha Issues on Trade and Environment held in Cape Town, South Africa in May 2003. As described in a special UNCTAD XI issue of the CBTF newsletter prepared on the occasion of the recent UNCTAD XI *Roundtable on Promoting Trade for Sustainable Development* (São Paulo, Brazil, 17 June 2004), focusing on African countries will be a major priority of future CBTF activities.

The 25 participants from North, East, West and Southern Africa included university professors, directors and senior staff from national cleaner production centers, environmental, economic and policy research institutes, as well as a representative from the European Commission (DG Trade). Participants were selected based on recommendations provided by several UNEP Divisions (Region of Africa, Division of Early Warning and Assessment, Division of Environmental Policy and Implementation, and Division of Technology, Industry and Economics), other partner organizations as well as the UNEP Economic and Trade Branch (UNEP-ETB) expert working group on integrated assessment. Representatives of the UNEP and UNCTAD secretariats also participated. Mr. Shafqat Kakakhel, Deputy Executive Director of UNEP opened the training workshop.

The workshop aimed at providing participants with a better understanding of the concept of integrated assessment and providing a forum to discuss experiences and future possibilities of its application in African countries. In preparing for the training workshop, UNEP-ETB developed a draft Training Resource Manual for Integrated Assessment, which was based on experiences gained through 18 country projects on integrated assessment of trade-related policies conducted in different regions. The training workshop provided an opportunity to receive feedback from participants on the training resource manual before its finalization.

In combining input by resource persons, presentations of experiences from the national institutes, which have led previous country projects in Africa, and group work on case studies, the training stimulated very fruitful discussions on the benefits, appropriate methods as well as obstacles of undertaking an integrated assessment. The workshop also provided an opportunity to brief participants on related initiatives on assessment undertaken by the UNEP Division of Early Warning and Assessment, UNDP and the EU. It confirmed the immense interest in the topic and the wealth of experiences already available in Africa. Participants expressed the need to continue the capacity building activities at the sub-regional and national levels targeted towards a broader group of relevant stakeholders including governments, the private sector and local authorities.

The trainees appreciated the training approach and found the training resource manual to be a useful and user-friendly tool on which future training activities could be built. Main recommendations for its improvement focused on further clarification of the differences and benefits of environmental impact assessment, strategic environmental assessment and integrated assessment as well as their interrelations. Participants also suggested including more practical examples illustrating the main steps of an integrated assessment as well as the challenges in the practical implementation.

Subject to availability of funding, the participating African institutions expressed their interest to initiate follow-up activities to the workshop, including national and sub regional training workshops, country projects in sectors relevant to Africa (livestock, tourism, agriculture in arid land, water and energy), and analysis of the actual policy implications of the country studies which have already been carried out. The UNEP and UNCTAD secretariats will brief senior officials from African governments on future CBTF activities during a meeting to be held in conjunction with the WTO CTE meeting (Commission on Trade and Environment) in mid-October 2004 in Geneva.

Annex 1: Agenda

Annex 2: Evaluation and detailed recommendations.

Annex 3: List of participants



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Annex 1:

**Integrated Assessment for African Countries**

**UNEP-UNCTAD Capacity Building Task Force - Training Workshop**

UNEP Headquarters Nairobi, 19-20 July 2004 (Conference Room 3 and 6)

Agenda (actual)

**Monday, 19 July 2004**

8:30-9:00	Arrival of participants and registration
9:00-9:45	<b>Opening and Welcoming Remarks</b> (chair Mr. Desta Mebratu) Mr. Shafqat Kakakhel (Deputy Executive Director, UNEP) Mr. Rene Vossenaar (Chief, Trade, Env. and Dev. Branch, UNCTAD) Ms. Eva Kaluzynska (Coordinator, DG Trade Civil Society Dialogue) Mr. Peter Acquah (Regional Office for Africa, UNEP)
9:45-10:15	Tea / Coffee Break
10:15-10:30	<b>Introduction to the Training Workshop</b> <ul style="list-style-type: none"> <li>• Self introductions and expectations regarding the workshop</li> <li>• Objectives and programme of the training (Ms. Vera Weick)</li> <li>• Capacity Building Task Force for Trade, Env. and Development (Mr. R. Vossenaar)</li> </ul>
10:30-11:15	<b>Briefing on related initiatives</b> <ul style="list-style-type: none"> <li>• Africa Environmental Outlook Report (Mr. Munyaradzi Chenje – UNEP-DEWA)</li> <li>• UNDP/UNEP cooperation / SIAP (Mr. Gregory Woodsworth – UNDP Kenya)</li> </ul>
11:15-11:30	<b>Introduction to Training Resource Manuals</b> <ul style="list-style-type: none"> <li>• Training Resource Manual Env. Impact Assessment (Mr. D. Bitondo, EIA Consultant)</li> </ul>
11:30-12:30	<b>Session 1: General Introduction to Integrated Assessment</b> <ul style="list-style-type: none"> <li>• Presentation (Mr. Jan Joost Kessler)</li> <li>• Discussion and interaction with resource person</li> </ul>
12:30-1:30	Lunch break
1:30-2:30	<b>Session 2: Process of an Integrated Assessment</b> <ul style="list-style-type: none"> <li>• Presentation (Mr. Jan Joost Kessler)</li> <li>• The participatory process on integrated assessment: case of Senegal (Mr. Papa Gora Ndiaye)</li> <li>• Discussion and interaction with resource persons</li> </ul>
2:30-4:30	<b>Group activity 1: Case study exercise on Integrated Assessment of trade-related policies (1st set of questions)</b> <ul style="list-style-type: none"> <li>• Group work on case studies in three groups (China, Nigeria, Senegal)</li> <li>• Preparation of presentations</li> </ul>

4:30-5:00	Tea / Coffee break (integrated in group work)
5:00-6:00	<ul style="list-style-type: none"> <li>• Presentation of results by groups in plenary (three groups)</li> <li>• Discussion</li> </ul>

## Tuesday, 20 July 2004

9:00-9:15	<b>Summary of the First Day and the Programme for the Second Day</b>
9:15-10:45	<b>Session 3: Contents and Methods of an Integrated Assessment</b> <ul style="list-style-type: none"> <li>• Presentation (Mr. Jan Joost Kessler)</li> <li>• Discussion and interaction with resource persons</li> </ul>
10:45-11:15	Tea / Coffee break
11:15- 12:30	<b>Session 4: Policy Responses and Follow-up</b> <ul style="list-style-type: none"> <li>• Presentation (Mr. Jan Joost Kessler)</li> <li>• The follow-up process in Nigeria (Mr. Peter Okuneye)</li> <li>• Discussion and interaction with resource persons</li> </ul>
12:30-1:30	Lunch break
1:30-4:30	<b>Group activity 1: Case study exercise on Integrated Assessment of trade-related policies (2nd set of questions) – continued</b> <ul style="list-style-type: none"> <li>• Group work on case studies in three groups (China, Nigeria, Senegal)</li> <li>• Preparation of presentations</li> <li>• Presentation of results by groups in plenary (three groups)</li> <li>• Discussion</li> </ul>
4:30-5:00	Tea / Coffee break
5:00-6:00	<b>Recommendations for the Training Resource Manual and Follow-up</b> <ul style="list-style-type: none"> <li>• Comments by participants</li> <li>• Review and next steps</li> </ul>
6:00	<b>Closing</b> <i>NB: Participants return Evaluation Questionnaire</i>



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Annex 2:

## Evaluation and Detailed Recommendations

### UNEP-UNCTAD Training Workshop on Integrated Assessment (IA) for African Countries 19-20 July, UNEP Headquarters, Nairobi

#### Workshop Administration

Participants were mostly satisfied with workshop administration, but offered a few suggestions.

#### Suggestions:

- Chose hotel closer to UNEP headquarters to avoid traffic jams
- Allocate more time for the training workshop, plan for three days
- Improve and update CBTF website

#### Training Resource Manual on Integrated Assessment

Participants generally commented that the manual was a useful and user-friendly tool, and provided some recommendations for its improvement.

#### Suggestions for improvement in general

- Clarify concepts of ownership and initiator of IAs (separate ownership and initiator)
- Address more practical field problems
- Include more case studies / more practical group work
- Elaborate detailed account of how to do an IA (provide a step-by-step approach that shows sequential steps of an integrated assessment)
- Pick up a typical example to illustrate the whole IA process (taking hypothetical country and sector)
- Include merits and demerits of command and control measures, economic and voluntary measures, as well as gender issues, child labour, occupational health and safety, social accountability (e.g. SA 8000), supply chain and corporate responsibility. Elaborate on the role of business and local government authorities
- Provide a clear methodological approach, such as the approach in the UNEP EIA manual
- Explain that studies could be conducted in all kinds of sectors, including the service sector (Cover more sub-sectors in the case studies: livestock, water, wildlife, industrial product etc.)
- Provide checklists for sectoral approaches (sectors)
- Elaborate further on the different versions of IA training programmes (such as one for professionals and the other one for beginners)
- Distribute questionnaires before and after the training to evaluate how trainees were able to grasp the subject

- Provide a clear explanation that the manual is for trainers and not a background document for the participants of the training (the document handed out to the participants needs to be prepared by the trainer taking into account the need of the particular audience)
- Provide references to the work undertaken by other institutions on IA, SEA, EIA, etc. (such as UNDP)
- Emphasize the role of the steering committee and how to set it up
- Provide a French version of the training manual
- Include information on research proposal writing

### **Suggestions to improve the sessions and case study exercise**

- Include information on EIA and SEA (brief presentation) and clarify the difference between EIA, SEA and IA; highlight the additional benefits of policy analysis to influence policy decision-making
- Include benchmarking, product life cycle, cleaner production and EMA as beneficial steps of an IA
- Provide a more detailed introduction to IA (with examples) for those not familiar with IA
- Emphasize multidisciplinary nature if the subject matters
- Expand on identification of the products, which have a noted impact on environment with relation to trade and production
- Expand on quantitative assessment models as well as qualitative methods; demonstrate output/outcomes, include examples of practical applications and difficulties in applying the different methods
- Include examples from case studies in the presentations
- Include more visual effects (diagram, figure, table flowchart)
- Include slides that lead to participation of participants
- Make sure there is a chairman for each group to facilitate the discussion and provide more time for group discussion.
- Enrich information provided in the case studies so that participants can better answer the questions

### **Follow-up activities that institutions could carry out:**

**Basel Convention Center:** Prepare briefing for all focal points in English-speaking African countries on the importance of integrated assessment; Draft a project proposal to initiate capacity building for senior officials.

**CEDR:** Subject to funding, update data used in Tanzanian IA study and consolidate the report.

**CEEPA:** Undertake an IA on livestock in South Africa; incorporate IA in post-graduate studies in environmental economics.

**CITET:** Conduct IA case studies on tourism and agriculture in arid land.

**CSIR-ENVIRONMENTEK:** Raise awareness on trade and sustainable development issues.

**ENDA:** Organize training workshop based on work done in Africa with support of UNEP.

**EPA, Ghana:** Integrate IA into currently on-going SEA to provide the qualitative analysis for the SEA process.

**KEI:** Use the IA material as a guide for future training programs.

**KNPC:** Conduct trainings, awareness raising and actual assessment (in important sectors of the economy); hold a consultative workshop.

**NISER:** Subject to funding, do training exercise.

**UCPC:** Propose an IA for Lake Victoria and national policy in sustainable consumption and production in Uganda.

**University of Agriculture, Nigeria:** Subject to funding, conduct a national workshop on IA.

**University of Cairo:** Conduct project on IA of fisheries or some industrial products to meet objectives of sustainable development.

#### **Follow-up activities suggested for UNEP-UNCTAD CBTF:**

**Basel Convention Center:** CBTF could help BCC with technical assistance and funding for training activities.

**CEDR:** For purposes of capacity building, carry out IA in another sector with high investment pressure, e.g. tourism, mining industries; systematically carry out training on IA for senior officials and local governments authorities.

**CEEPA:** Collaborate with participants on a possible country study; participants to be involved in follow-up workshop to evaluate the capacity building initiated in this area; Send revised copy of training manual to participants.

**CITET:** Conduct IA case studies on tourism and agriculture in arid land.

**EEPRT:** Keep contact with the trainees and check whether they have conducted a similar training program in their respective countries and whether EIA studies have been undertaken by the institutions or other organizations in the countries.

**ENDA:** Support institutions like ENDA to disseminate the training tools and organize a training workshop.

**EPA, Ghana:** Support training of relevant stakeholders in the IA of Ghana's poverty reduction strategy to further refine the SEA outcomes; conduct IA in the priority sectors emerged from the qualitative SEA process, namely water and energy; support IA training for WTO negotiators and other stakeholders (capacity building).

**KEI:** Support the development of similar training programs for developing countries in other regions (possible cooperation of UNEP-UNCTAD and KEI).

**KNCP:** Assist in setting up a case study to facilitate skills transfer through learning by doing.

**NISER:** Support additional training to ensure effectiveness and continuity in the light of evolving understanding and knowledge of integrated assessment studies.

**UCPC:** Fund sub-regional proposals for IA activities.

**University of Agriculture, Nigeria:** Consider a regional workshop in four centers or four regions in Africa; invite a few participants to finalize the training resource manual after revised draft is available.

**University of Cairo:** Request African countries to submit proposals on IA and provide technical assistance to conduct the proposed projects.

#### **Additional suggestions for follow-up activities:**

- Expose group that has been trained to real life examples in near future
- Conduct national capacity building workshops in interested countries
- Compile which activities are under way or already implemented by represented countries in the workshop
- Facilitate follow-up activities in trainees' countries
- Assist in raising awareness in countries by facilitating some initiation activities. This could facilitate the launching of country projects
- Support training workshops by regions using the studies from the region
- Provide support for the adaptations of the manual to national and sub-national circumstances
- Analyse the actual policy implications of the country studies, which have already been carried out.
- Inform stakeholders about the procedures for submitting project proposals (within the programme of CBTF)
- Run training workshops with the people that will actually carry out integrated assessment studies (with core people)
- Initiate second round of IAP projects after first results of the current round have been compiled
- Include more people with a background in trade in the next workshop



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Annex 3:

UNEP/UNCTAD CBTF Training Workshop on Integrated Assessment  
for African Countries, 19-20 July 2004, UNEP Headquarters, Nairobi

Final list of participants

**Association Camerounaise pour l'Évaluation Environnementale (ACAMEE),  
Cameroon**

**Mr. Dieudonné Bitondo**

Secrétaire Exécutif

Association camerounaise pour l'évaluation environnementale (ACAMEE)

B.P. 30465

Yaoundé

Cameroon

Tel: + 237 231 2568 / (237) 741 4928

Fax: + 237 231 2569

E-mail: [bitondo@hotmail.com](mailto:bitondo@hotmail.com)

**Basel Convention Regional Centre (BCRC-CSIR), South Africa**

**Dr. John Mbogoma**

Executive Director

Basel Convention Regional Centre (BCRC)

Council for Scientific and Industrial Research (CSIR)

Meiring Naude Road, Bld 04, Room 130

P.O. Box 109, Silverton

0127 Pretoria

South Africa

Tel: + 27 12 349 11 30

Fax: + 27 12 349 10 43

E-mail: [john@baselpretoria.org.za](mailto:john@baselpretoria.org.za)

**Cairo University, Egypt**

**Prof. Dr. Ahmed Hassan**

Dean of Faculty of Commerce

Former Director of Center for Environmental Research and Studies

Cairo University

Orman,

Giza

Egypt

Tel: + 202 567 60 58 - 569 1005

Fax: + 202 568 46 20

E-mail: [farghally@yahoo.com](mailto:farghally@yahoo.com)

**Center for Environmental Economics and Development Research (CEDR),  
Tanzania**

**Mr. Ignace A. Mchallo**  
Senior Programme Officer  
Center for Environmental Economics  
and Development Research (CEDR)  
P.O. Box 68035  
Dar es Salaam  
Tanzania

Tel: + 255 74 461 1333  
Fax:  
E-mail: [mchalloinno@hotmail.com](mailto:mchalloinno@hotmail.com)

**Centre International des Technologies de l'Environnement (CITET), Tunisia**

**M. Belgacem Hanchi**  
Directeur Général  
Centre International des Technologies de l'Environnement  
Boulevard de l'Environnement  
1080 Tunis  
Tunisia

Tel: + 216 71 8080650  
Fax: + 216 71 772 255  
E-mail: [citet.metap@citet.nat.tn](mailto:citet.metap@citet.nat.tn), [dg@citet.nat.tn](mailto:dg@citet.nat.tn)

**Cleaner Production Center of Tanzania (CPCT), Tanzania**

**Prof. Cleo Migiro**  
Director  
Cleaner Production Center of Tanzania  
TIRDO Office Complex  
P.O. Box 23235  
Dar-es-Salaam  
Tanzania

Tel: + 255 22 260 2338  
Fax: + 255 22 260 2339  
E-mail: [cpct@ud.co.tz](mailto:cpct@ud.co.tz)

**Council for Scientific and Industrial Research (CSIR), South Africa**

**Ms. Lindiwe Mabuza**  
Environmental Scientist  
Environmentek  
Council for Scientific and Industrial Research (CSIR)  
P.O. Box 395  
0001 Pretoria  
South Africa

Tel: + 27 12 841 3639

Fax: + 27 12 841 2689  
E-mail: [lmabuza@csir.co.za](mailto:lmabuza@csir.co.za)

### **ENDA Third World, Senegal Republic**

**Mr. Papa Gora Ndiaye**  
Programme Officer  
ENDA Prospectives - Dialogues Politiques  
ENDA Third World  
Rue 15 x corniche  
BP: 7329 Soumbédioune  
Dakar  
Senegal Republic

Tel: + 221 823 5347/221 640 6311  
Fax: + 221 823 6713  
E-mail: [papa\\_gorandiaye@hotmail.com](mailto:papa_gorandiaye@hotmail.com); [diapol@enda.sn](mailto:diapol@enda.sn)

### **Environmental Protection Agency (EPA), Ghana**

**Mr. Philip Acquah**  
Member, African Roundtable on Sustainable Consumption and Production (ARSCP)  
Director  
Manufacturing Industry Department  
Environmental Protection Agency, EPA  
P.O. Box MB 326  
Accra  
Ghana

Tel: + 233 21 66 46 98 (office)  
Fax: + 233 21 66 26 90  
E-mail: [pacquah333@yahoo.com](mailto:pacquah333@yahoo.com); [pacquah@epaghana.org](mailto:pacquah@epaghana.org)

### **Ethiopian Economic Policy Research Institute (EEPRI), Ethiopia**

**Mr. Worku Gebeyehu**  
Researcher  
Ethiopian Economic Policy Research Institute (EEPRI)  
P.O. Box 34282  
Addis Ababa  
Ethiopia

Tel: + 251 1 23 43 63  
Fax: + 251 1 23 43 62  
E-mail: [workugeb@yahoo.com](mailto:workugeb@yahoo.com); [eea@telecom.net.et](mailto:eea@telecom.net.et)

### **European Commission (EC), Belgium**

**Ms. Eva Kaluzynska**  
DG Trade, Unit G3 –Sustainable Development  
CHAR 8/09  
European Commission  
Rue de la Loi, 200  
Brussels  
Belgium

Tel: + 32 2 295 26 27  
Fax: + 32 2 296 93 09  
E-mail: [eva.kaluzynska@cec.eu.int](mailto:eva.kaluzynska@cec.eu.int)

### **Kenya National Cleaner Production Centre (KNPCPC), Kenya**

**Ms. Jane Nyakang'o**

Director  
Kenya National Cleaner Production Centre  
Kirdi, P.O. Box 1360  
00200 City Square  
Nairobi  
Kenya

Tel: + 254 20 604 870  
Fax: + 254 20 604 871  
E-mail: [jnyakang'o@cpkenya.org](mailto:jnyakang'o@cpkenya.org)

**Mr. Kelvin Khisa**

Kenya National Cleaner Production Centre  
Kirdi, P.O. Box 1360  
00200 City Square  
Nairobi  
Kenya

Tel: + 254 20 604 870  
Fax: + 254 20 604 871  
E-mail: [kkhisa@cpkenya.org](mailto:kkhisa@cpkenya.org)

**Prof. David Mungai**

Kenya National Cleaner Production Centre  
Kirdi, P.O. Box 1360  
00200 City Square  
Nairobi  
Kenya

Tel: + 254 20 604 870  
Fax: + 254 20 604 871  
E-mail: [d.mungai@cpkenya.org](mailto:d.mungai@cpkenya.org)

### **Korean Environment Institute (KEI), Republic of Korea**

**Dr. Young – Joon Lee**

Research Fellow  
Korean Environment Institute (EIA Division)  
613-2 Bulgwang-Dong  
Eunpyeong-Gu  
Seoul 122-706  
Republic of Korea

Tel: + 82 2 380 7763  
Fax: + 82 2 380 7744  
E-mail: [yjlee@kei.re.kr](mailto:yjlee@kei.re.kr)

### **Ministry of Planning and National Development, Kenya**

**Mr. Festus Wangwe**

Economist  
Ministry of Planning and National Development  
Central Planning and Coordination Dept.  
7802 – 00200 Nairobi  
Kenya

Tel: + 254 20 338111 ext. 33327; 0722-399680

Fax: + 254 20 218475

E-mail: [fwangwe@yahoo.com](mailto:fwangwe@yahoo.com)

**Nigerian Institute of Social and Economic Research (NISER), Nigeria**

**Prof. Tunji Akande**

Nigerian Institute of Social and Economic Research  
Oyo Road, Ojoo  
Ibadan  
Nigeria

Tel: + 234-2 810 29 04 and 234 804 211 4789

Fax: + 234-2 810 11 94

E-mail: [akande@niser.org.ng](mailto:akande@niser.org.ng); [stunjiakande@yahoo.com](mailto:stunjiakande@yahoo.com)

**Process Technology Centre (PTC-CSIR), South Africa**

**Dr. Christopher Masuku**

Manager  
Process Technology Centre (PTC)  
South African Cleaner Production Center (SACPC)  
Council for Scientific and Industrial Research (CSIR)  
Meiring Naude Rd, Brummeria  
PO Box 395  
0001 Pretoria  
South Africa

Tel: + 27 12 841 3665

Fax: + 27 12 841 2135

E-mail: [cmasuku@csir.co.za](mailto:cmasuku@csir.co.za)

**The Kenya Institute for Public Policy Research & Analysis (KIPPRA), Kenya**

**Dr. Samuel Mazera Mwakubo**

Policy Analyst  
Productive Sector  
The Kenya Institute for Public Policy Research & Analysis  
P.O. Box 56445  
00200 Nairobi  
Kenya

Tel: + 254 20-271 99 33 / 271 47 14/271 99 34

Fax: + 254 20-271 99 51

E-mail: [smwakubo@kippra.or.ke](mailto:smwakubo@kippra.or.ke)

**Uganda Cleaner Production Centre (UCPC), Uganda**

**Dr. Patrick Mwesigye**

Director  
Uganda Cleaner Production Centre  
Plot M217 Jinja Road  
P.O. Box 7184  
Kampala  
Uganda

Tel: + 256 41 287 938 / 287 958

Fax: + 256 41 287 940 / 286 767

E-mail: [pmwesigye@ucpc.co.ug](mailto:pmwesigye@ucpc.co.ug)

**UNDP/BDP/CEA-SURF, Kenya**

**Mr. Joseph Opio-Odongo**

Environmental Policy Specialist  
UNDP/BDP/CEA-SURF  
DDC, UN Avenue Gigiri  
P.O. Box 30552,  
Nairobi  
Kenya

Tel: + 254 20 62 4647; + 254 733 697705 (mobile)

Fax: + 254 20 624648.

E-mail: [joseph.opio-odongo@undp.org](mailto:joseph.opio-odongo@undp.org)

**University of Agriculture, Nigeria**

**Prof. Peter Bola Okunye**

Project Coordinator  
Agric/Environmental Economics Centre  
University of Agriculture  
P.M.B. 2240  
Abeokuta, Ogun State  
Nigeria

Tel: + 234- 803 323-3230 / 234-804 504 6400

Fax: + 234-39-242 582

E-mail: [b\\_okunye@yahoo.com](mailto:b_okunye@yahoo.com)

**University of Pretoria (CEEPA), South Africa**

**Dr. James Benhin**

Post-Doctoral Research Fellow  
Centre for Environmental Economics and Policy in Africa (CEEPA)  
University of Pretoria  
CEEPA, Agricultural Annex, University of Pretoria  
0002 Pretoria  
South Africa

Tel: + 27 12 420 5228

Fax: + 27 12 420 4958

E-mail: [jbenhin@postino.up.ac.za](mailto:jbenhin@postino.up.ac.za)

## **United Nations Development Programme (UNDP), Kenya**

### **Mr Gregory Woodsworth**

Energy and Environmental Policy Advisor  
Bureau for Development Policy - Drylands Development Centre  
United Nations Development Programme  
United Nations Avenue  
Gigiri, P.O. Box 30552  
Nairobi  
Kenya  
Tel: + 254 20 624 644  
Fax: + 254 20 624 648  
E-mail: [gregory.woodsworth@undp.org](mailto:gregory.woodsworth@undp.org)

## **United Nations Environment Programme (UNEP)**

### **Ms. Cecilia Pineda**

Economic Affairs Officer  
Economics and Trade Branch  
Division of Technology, Industry and Economics  
United Nations Environment Programme  
11-13, chemin des Anemones  
CH-1219 Chatelaine  
Switzerland  
Tel: + 41-22-917 81 03  
Fax: + 41-22-917 80 76  
E-mail: [cecilia.pineda@unep.ch](mailto:cecilia.pineda@unep.ch)

### **Ms. Vera Weick**

Programme Officer  
Economics and Trade Branch  
United Nations Environment Programme  
11-13, chemin des Anemones  
CH-1219 Chatelaine  
Switzerland  
Tel: + 41-22-917 81 51  
Fax: + 41-22-917 80 76  
E-mail: [vera.weick@unep.ch](mailto:vera.weick@unep.ch)

### **Mr. Munyaradzi Chenje**

Africa Regional Coordinator  
Division of Early Warning and Assessment (DEWA)  
United Nations Environment Programme  
P.O. Box 47074  
Nairobi  
Kenya  
Tel: + 254 20 62 4546  
Fax: + 254 20 62 4309  
E-mail: [munyaradzi.chenje@unep.org](mailto:munyaradzi.chenje@unep.org)

### **Mr. Nadeem Karmali**

Intern  
Capacity Building Branch  
Division of Environmental Policy Implementation  
United Nations Environment Programme  
P.O.Box: 30552  
Nairobi  
Kenya  
Tel: + 254 20 62 3669

Fax: + 254 20 62 3859

**Mr. Desta Mebratu**

Programme Officer  
Regional Office for Africa  
United Nations Environment Programme  
P.O.Box: 47074  
Nairobi  
Kenya  
Tel: + 254 20 62 4044  
Fax: + 254 20 62 3928  
E-mail: [desta.mebratu@unep.org](mailto:desta.mebratu@unep.org)

**United Nations Conference for Trade And Development (UNCTAD)**

**Mr. René Vossenaar**

Chief  
Trade, Environment and Development Branch  
DITC  
Palais des Nations E-8031  
1211-Geneva 10,  
Switzerland  
Tel: + 41 22 917-5679  
Fax: + 41 22 917-0247  
E-mail: [Rene.Vossenaar@unctad.org](mailto:Rene.Vossenaar@unctad.org)

**Integrated Assessment Expert**

**Mr. Jan Joost Kessler**

Ecologist  
Strategic Planning  
AIDEnvironment  
Donker Curtiusstraat 7-523  
1051 JL Amsterdam  
The Netherlands

Tel: + 31 20 6868 111  
Fax: + 31 20 6866 251  
E-mail: [kessler@aidenvironment.org](mailto:kessler@aidenvironment.org)